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Roll No.

18CC2006

M. B. A. EXAMINATION, May 2019

(Third Semester)

(C. Scheme) (Re-appear)

FM03C

FINANCIAL MARKETS AND SERVICES

Time : 3 Hours]

[Maximum Marks : 75

Before answering the question-paper candidates should ensure that they have been supplied to correct and complete question-paper. No complaint, in this regard, will be entertained after the examination.

Note : Attempt *Five* questions in all, selecting at least *one* question from each Unit. All questions carry equal marks.

(4-20/10) M-18CC2006

P.T.O.

Unit I

1. List out the various participants in Indian Capital Market with their functions and importance in brief. **15**
2. Explain the role of Capital market in capital formation and resource allocation in Indian financial system. **15**

Unit II

3. Explain the clearing and settlement procedures at NSE and BSE with the help of examples. **15**
4. Explain the following : **7.5 each**
 - (a) Registration procedure of trading and clearing members.
 - (b) Role of stock brokers and sub-brokers in capital market growth.

Unit III

5. What do you understand by “SIP” term in Mutual fund ? Why “SIP” is better than “One time

investment made in mutual fund ? Explain the factors affecting the NAV performance. **15**

6. Explain the factors affecting the importance of life insurance for insured. Brief the important particulars in a traditional insurance plans and term insurance plan for consideration point of view by insured. **15**

Unit IV

7. Explain the important factors with their weightage (as per your view) while evaluating Banking sector and FMCG sector for Credit rating purposes. **15**
8. Discuss the factors affecting lease vs. buy decision of fixed assets for a firm. What are the tax aspects in lease for lessor and lessee point of view ? Explain with examples. **15**