

No. of Printed Pages : 3

Roll No. ....

**18CC2004**

**M.B.A. EXAMINATION, May 2019**

(Third Semester)

(C. Scheme) (Re-appear)

FM01C

Indian Financial System and Financial Market

*Time : 3 Hours]*

*[Maximum Marks : 75*

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Before answering the question-paper candidates should ensure that they have been supplied to correct and complete question-paper. No complaint, in this regard, will be entertained after the examination.

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**Note :** Attempt *Five* questions in all, selecting at least *one* question from each Unit. All questions carry equal marks.

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**P.T.O.**

### **Unit I**

1. Describe the various instruments of Indian capital market and explain the role of these instruments in industrial growth. **15**
2. Explain the role of Regulatory Framework available in Indian capital market as regulator.

**15**

### **Unit II**

3. Discuss the transactions settlement cycle at BSE and NSE in detail and what kinds of risks are associated in the settlement process by settlement agencies ? **15**
4. Explain the following : **7.5 each**
  - (a) Pre-issue functions of Merchant bankers
  - (b) Functions of underwriters

### **Unit III**

5. “Mutual fund, Pension fund and Insurance companies play crucial role in the development of Indian economy.” Critically examine. **15**

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**2**

6. Explain the following : **7.5 each**

- (a) Role of industrial development financial institutions in the development of infrastructural sector in India.
- (b) Role of venture capital in entrepreneurship development in India.

### **Unit IV**

7. What is Investor protection and education fund ? Explain various important provisions are given for the protection of investors in this fund. **15**
8. Explain the various provision are given under the Prevention of Money Laundering Act, 2002.

**15**

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**3**

**230**